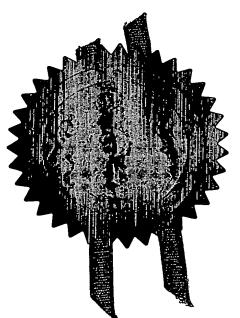


I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



# PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

Signed Parkeys,

Dated 3 February 2004

BEST AVAILABLE COPY

### Patents Form 1/77 THE PATENT OFFICE Patents A (Rule 16) В P01/7705-6308-0230074.7 24 DEC 2002 Request for grant The Patent Office Cardiff Road, explanatory leaflet from the Patent Office to help you fill in Newport: this form) South Wales NP10 800 Your reference 532 Patent application number 0230074.7 (The Patent Office will fill in this part) Full name, address and postcode of the or of each applicant (underline all surnames) ELAM-T LIMITED 103 BOROUGH ROAD LONDON SE1 OAA Patents ADP number (if you know it) 810528000 If the applicant is a corporate body, give the country/state of its incorporation Title of the invention ELECTROLUMINESCENT MATERIALS AND DEVICES Name of your agent (if you have one) "Address for service" in the United Kingdom COHEN, ALAN NICOL to which all correspondence should be sent 2 GROVE PLACE (including the postcode) TATSFIELD Nr. WESTERHAM **KENT** 696355 TN162BB Patents ADP number (if you know tt) 6. If you are declaring priority from one or more Priority application number Date of filing earlier patent applications, give the country (if you know it) (day / month / year) and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number 7. If this application is divided or otherwise Number of earlier application Date of filing derived from an earlier UK application, (day / month / year) give the number and the filing date of the earlier application 8. Is a statement of inventorship and of right to grant of a patent required in support of YES this request? (Answer Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or any named applicant is a corporate body. See note (d))

Q. Finter the number of cheets for an Make						
9. Enter the number of sheets for any of the following items you are filing with this form.	•		·			: <del>(</del>
Do not count copies of the same document						
Continuation sheets of this form		•				
Description	21				· [-	
	<i>y</i>		,		mar show a si	··· · · · · · · · · · · · · · · · · ·
Claim(s)	6					•
Abstract	1	Λ		·		
Drawing(s)	17 .	+170				
10. If you are also filing any of the following, state how many against each item.						
Priority documents						
Translations of priority documents						
Statement of inventorship and right						
to grant of a patent (Patents Form 7/77)						
Request for preliminary examination						
and search (Patents Form 9/77)						
Request for substantive examination						
(Patents Form 10/77)		•				
Any other documents						
(please specify)						
11.	I/We	request the g	rant of a	patent on the	basis of	f this appli
	Signat	ture	1		Date 6	23/12/
12. Name and daytime telephone number of person to contact in the United Kingdom	A. ]	N. Cohen		01959 577172		
Warning	-		<u> </u>			.,
After an application for a patent has been filed, the	: Comptro	ller of the Pa	tent Off der Secti	ice will consid	ler wbet	ber public

written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

#### Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

### Electroluminescent Materials and Devices

The present invention relates to electroluminescent materials and to electroluminescent devices.

5

Materials which emit light when an electric current is passed through them are well known and used in a wide range of display applications. Liquid crystal devices and devices which are based on inorganic semiconductor systems are widely used, however these suffer from the disadvantages of high energy consumption, high cost of manufacture, low quantum efficiency and the inability to make flat panel displays.

10

Organic polymers have been proposed as useful in electroluminescent devices, but it is not possible to obtain pure colours, they are expensive to make and have a relatively low efficiency.

15

Another compound which has been proposed is aluminium quinolate, but this requires dopants to be used to obtain a range of colours and has a relatively low efficiency.

20

Patent application WO98/58037 describes a range of transition metal and lanthanide complexes which can be used in electroluminescent devices which have improved properties and give better results. Patent Applications PCT/GB98/01773, PCT/GB99/03619, PCT/GB99/04030, PCT/GB99/04024, PCT/GB99/04028, PCT/GB00/00268 describe electroluminescent complexes, structures and devices using rare earth chelates.

25

US Patent 5128587 discloses an electroluminescent device which consists of an organometallic complex of rare earth elements of the lanthanide series sandwiched between a transparent electrode of high work function and a second electrode of low

work function with a hole conducting layer interposed between the electroluminescent layer and the transparent high work function electrode and an electron conducting layer interposed between the electroluminescent layer and the electron injecting low work function anode. The hole conducting layer and the electron conducting layer are required to improve the working and the efficiency of the device. The hole transporting layer serves to transport holes and to block the electrons, thus preventing electrons from moving into the electrode without recombining with holes. The recombination of carriers therefore mainly takes place in the emitter layer.

10

15

20

30

5

It is known that electroluminescent europium organometallic complexes emit light in the red part of the spectrum and application WO98/58037 discloses such complexes.

We have now devised an electroluminescent structure which gives improved red emission.

According to the invention there is provided an electroluminescent device which comprises (i) a first electrode (ii) a layer of a first electroluminescent metal complex or organo metallic complex (iii) a layer of a second metal complex or organo metallic complex and (iv) a second electrode and in which the band gap of the second electroluminescent metal complex or organo metallic complex is larger than the band gap of the first electroluminescent metal complex or organo metallic complex.

There can be more than one layer of each of the first and second electroluminescent metal complex or organo metallic complexes arranged alternatively.

In an electroluminescent organometallic complex when an electron drops from one level to a lower level light is emitted and the larger the gap between the levels (the band gap) the higher the energy level difference and the shorter the wave length of the light emitted. Normally the metal in the second electroluminescent metal complex or organo metallic complex has a higher HOMO (highest occupied molecular orbital)

10

20

25

30

and a lower LOMO (lowest occupied molecular orbital) than the metal in the second electroluminescent metal complex or organo metallic complex.

The band gap of the second organometallic complex can be larger than the band gap of the first electroluminescent metal complex or organo metallic complex by virtue of the metals and/or organic ligands.

The metal in the first and second electroluminescent metal complex or organo metallic complex is preferably selected from Sm(III), Eu(II), Eu(III), Tb(III), Dy(III), Yb(III), Lu(III), Gd (III), U(III), U(VI)O<sub>2</sub>, Tm(III), Th(IV), Ce (III), Ce(IV), Pr(III), Nd(III), Pm(III), Dy(III), Ho(III), Er(III).

Alternatively the thickness of the layer of the second electroluminescent metal complex or organo metallic complex is too thin to emit light e.g. of less than 10 nanometres.

The metal in the first electroluminescent metal complex or organo metallic complex can be any metal which forms an electroluminescent metal complex or organo metallic complex, provided the band gap is less than the band gap of the organometallic complex in the second metal complex or organo metallic complex.

Preferred metals in the first electroluminescent metal complex or organo metallic complex are europium which emits light in the red region of the spectrum, terbium which emits light in the green region of the spectrum or dysprosium which emits light in the yellow region of the spectrum.

In the present invention the metal in the second electroluminescent metal complex or organo metallic complex is preferably gadolinium which emits light predominately in the ultra violet region of the spectrum. This has the advantage that the ultra violet light emitted has no or a limited effect on the colour of the light emitted by the first electroluminescent metal complex or organo metallic complex another preferred metal is cerium.

15

20

The first electroluminescent metal complex or organo metallic complex preferably has the formula  $(L\alpha)_nM1$  where  $L\alpha$  is an organic complex M1 is the metal and n is the valence state of M1.

5 The second electroluminescent metal complex or organo metallic complex preferably has the formula (Lα)<sub>m</sub>M2 where Lα is an organic complex M2 is the metal and n is the valence state of M2.

Preferred electroluminescent compounds which can be used in the present invention are of formula

$$(L\alpha)_x Mx \leftarrow Lp$$
(A)

where Mx is the metal, x is the valence state of Mx; L $\alpha$  and Lp are organic ligands and Lp is a neutral ligand. The ligands L $\alpha$  can be the same or different and there can be a plurality of ligands Lp which can be the same or different.

For example  $(L_1)(L_2)(L_3)Mx$  (Lp) where  $(L_1)(L_2)(L_3)$  are the same or different organic complexes and (Lp) is a neutral ligand and the different groups  $(L_1)(L_2)(L_3)$  may be the same or different

Lp can be monodentate, bidentate or multidentate and there can be one or more ligands Lp.

The metal in the organometallic complex forming the first and second organometallic layers can be the same provided that the organic ligand is such that band gap of the organometallic complex forming the second layer is larger than the bad gap of the organometallic complex forming the first organometallic layer.

10

15

Further electroluminescent compounds which can be used in the present invention are of general formula (La)<sub>n</sub>MxM3 where M3 is a non rare earth metal, La is as above and n is the combined valence state of Eu and M2. The complex can also comprise one or more neutral ligands Lp so the complex has the general formula (La)<sub>n</sub>MxM3(Lp), where Lp is as above. The metal M3 can be any metal which is not a rare earth, transition metal, lanthanide or an actinide examples of metals which can be used include lithium, sodium, potassium, rubidium, caesium, beryllium, magnesium, calcium, strontium, barium, copper (I), copper (II), silver, gold, zinc, cadmium, boron, aluminium, gallium, indium, germanium, tin (II), tin (IV), antimony (II), antimony (IV), lead (II), lead (IV) and metals of the first, second and third groups of transition metals in different valence states e.g. manganese, iron, ruthenium, osmium, cobalt, nickel, palladium(II), palladium(IV), platinum(II), platinum(IV), cadmium, chromium titanium, vanadium, zirconium, tantulum, molybdenum, rhodium, iridium, titanium, niobium, scandium, yttrium.

Preferably L $\alpha$  is selected from  $\beta$  diketones such as those of formulae

$$\begin{pmatrix}
R_1 \\
R_2 \\
R_3
\end{pmatrix}$$
or
$$\begin{pmatrix}
R_1 \\
Y \\
R_3
\end{pmatrix}$$
or
$$\begin{pmatrix}
R_1 \\
R_2 \\
R_3
\end{pmatrix}$$
(II)
$$\begin{pmatrix}
R_1 \\
R_2 \\
R_3
\end{pmatrix}$$
(III)

20

25

where R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can be the same or different and are selected from hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoryl methyl groups, halogens such as fluorine or thiophenyl groups; R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring

10

15

25

structures and can be copolymerisable with a monomer e.g. styrene. X is Se, S or O, Y can be hydrogen, substituted or unsubstituted hydrocarbyl groups, such as substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorine, fluorocarbons such as trifluoryl methyl groups, halogens such as fluorine or thiophenyl groups or nitrile.

Examples of R<sub>1</sub> and/or R<sub>2</sub> and/or R<sub>3</sub> include aliphatic, aromatic and heterocyclic alkoxy, aryloxy and carboxy groups, substituted and substituted phenyl, fluorophenyl, biphenyl, phenanthrene, anthracene, naphthyl and fluorene groups alkyl groups such as t-butyl, heterocyclic groups such as carbazole.

Some of the different groups  $L\alpha$  may also be the same or different charged groups such as carboxylate groups so that the group  $L_1$  can be as defined above and the groups  $L_2$ ,  $L_3$ ... can be charged groups such as

$$R - C$$
 $(IV)$ 

where R is  $R_1$  as defined above or the groups  $L_1$ ,  $L_2$  can be as defined above and  $L_{3...}$  etc. are other charged groups.

20 R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can also be

A preferred moiety R<sub>1</sub> is trifluoromethyl CF<sub>3</sub> and examples of such diketones are, banzoyltrifluoroacetone, p-chlorobenzoyltrifluoroacetone, p-bromotrifluoroacetone, p-phenyltrifluoroacetone, 1-naphthoyltrifluoroacetone, 2-naphthoyltrifluoroacetone, 2-phenathoyltrifluoroacetone, 3-phenathoyltrifluoroacetone, 9-

anthroyltrifluoroacetonetrifluoroacetone, cinnamoyltrifluoroacetone, and 2-thenoyltrifluoroacetone.

The different groups  $L\alpha$  may be the same or different ligands of formulae

$$\begin{pmatrix}
R_1 & X & X \\
R_3 & X & X \\
R_2 & X & X
\end{pmatrix}$$
(VI)

where X is O, S, or Se and R1 R2 and R3 are as above

10 The different groups Lα may be the same or different quinolate derivatives such as

where R is hydrocarbyl, aliphatic, aromatic or heterocyclic carboxy, aryloxy, hydroxy or alkoxy e.g. the 8 hydroxy quinolate derivatives or

where R,  $R_1$ , and  $R_2$  are as above or are H or F e.g.  $R_1$  and  $R_2$  are alkyl or alkoxy groups

As stated above the different groups  $L\alpha$  may also be the same or different carboxylate groups e.g.

$$R_5$$
— $C$ 
(XIII)

10

5

where  $R_5$  is a substituted or unsubstituted aromatic, polycyclic or heterocyclic ring a polypyridyl group,  $R_5$  can also be a 2-ethyl hexyl group so  $L_n$  is 2-ethylhexanoate or  $R_5$  can be a chair structure so that  $L_n$  is 2-acetyl cyclohexanoate or  $L\alpha$  can be

where R is as above e.g. alkyl, allenyl, amino or a fused ring such as a cyclic or polycyclic ring.

The different groups La may also be

$$R_1$$
 $R_2$ 
 $R_1$ 
 $R_2$ 
 $R_1$ 
 $R_2$ 
 $R_1$ 
 $R_2$ 
 $R_3$ 
 $R_4$ 
 $R_2$ 
 $R_4$ 
 $R_5$ 
 $R_7$ 
 $R_7$ 
 $R_7$ 
 $R_8$ 
 $R_9$ 
 $R_9$ 

10

15

20

## Where R, R1 and R2 are as above or

The groups L<sub>P</sub> in the formula (A) above can be selected from

Where each Ph which can be the same or different and can be a phenyl (OPNP) or a substituted phenyl group, other substituted or unsubstituted aromatic group, a substituted or unsubstituted heterocyclic or polycyclic group, a substituted or unsubstituted fused aromatic group such as a naphthyl, anthracene, phenanthrene or pyrene group. The substituents can be for example an alkyl, aralkyl, alkoxy, aromatic, heterocyclic, polycyclic group, halogen such as fluorine, cyano, amino. Substituted amino etc. Examples are given in figs. 1 and 2 of the drawings where R, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> can be the same or different and are selected from hydrogen, hydrocarbyl

groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoryl methyl groups, halogens such as fluorine or thiophenyl groups; R, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer e.g. styrene. R, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> can also be unsaturated alkylene groups such as vinyl groups or groups

$$--$$
C $--$ CH $_2$  $--$ CH $_2$  $--$ R

where R is as above.

### 10 L<sub>p</sub> can also be compounds of formulae

$$R_1$$
 $R_2$ 
 $R_1$ 
 $R_2$ 
 $R_3$ 
 $R_4$ 
 $R_5$ 
 $R_7$ 
 $R_7$ 
 $R_7$ 
 $R_7$ 
 $R_8$ 
 $R_8$ 
 $R_9$ 
 $R_9$ 

where R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are as referred to above, for example bathophen shown in fig. 3 of the drawings in which R is as above or

where R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are as referred to above.

20

15

L<sub>p</sub> can also be

$$S \stackrel{Ph}{=} P \stackrel{Ph}{=} N \stackrel{Ph}{=} S \qquad O \stackrel{Ph}{=} P \stackrel{Ph}{=} O O O \stackrel{Ph}{=} O O O O O O O O O O O O O O O O O$$

5 where Ph is as above.

10

15

20

Other examples of  $L_p$  chelates are as shown in figs. 4 and fluorene and fluorene derivatives e.g. a shown in figs. 5 and compounds of formulae as shown as shown in figs. 6 to 8.

Specific examples of L $\alpha$  and Lp are tripyridyl and TMHD, and TMHD complexes,  $\alpha$ ,  $\alpha'$ ,  $\alpha''$  tripyridyl, crown ethers, cyclans, cryptans phthalocyanans, porphoryins ethylene diamine tetramine (EDTA), DCTA, DTPA and TTHA. Where TMHD is 2,2,6,6-tetramethyl-3,5-heptanedionato and OPNP is diphenylphosphonimide triphenyl phosphorane. The formulae of the polyamines are shown in fig. 11.

Preferred first electroluminescent metal complex or organo metallic complexes are Eu(DBM)<sub>3</sub>OPNP which has a band gap of 3.2eV and Eu(TMHD)<sub>3</sub>OPNP which has a band gap of 3.7 eV and a preferred gadolinium complex is Gd(DBM)<sub>3</sub>Phen, where Phen is phenanthrene, which has a band gap of 3.8 eV.

For typical terbium complexes the band gap is of the order of 3.7eV.

In order to increase the conductivity of the second organometallic complex layer the layer can be doped with a conductivity improving additive such as a powdered metal, conductive polymer,

Other complexes which can be used to form the second electroluminescent layer are organometallic complexes in which the organic ligand is a boron complex e.g. of formula

5

10

15

$$R_1$$
 $R_2$ 
 $R_3$ 

where R<sub>1</sub> and R<sub>2</sub> are the same or different and are hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoryl methyl groups, halogens such as fluorine or thiophenyl groups; R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are the same or different and are hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoryl methyl groups, halogens such as fluorine or thiophenyl groups; R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures, can be copolymerisable with a monomer e.g. styrene or can be polymer, oligomer or dendrimer substituents.

In place of the terbium other lanthanide, actinide or rare earth metal can be used.

The first electrode can function as the cathode and the second electrode can function as the anode and preferably there is a layer of a hole transporting material between the anode and the layer of the electroluminescent compound.

The hole transporting material can be any of the hole transporting materials used in electroluminescent devices.

The hole transporting material can be an amine complex such as poly (vinylcarbazole), N, N'-diphenyl-N, N'-bis (3-methylphenyl) -1,1' -biphenyl -4,4'-diamine (TPD), an unsubstituted or substituted polymer of an amino substituted aromatic compound, a polyaniline, substituted polyanilines, polythiophenes, substituted polythiophenes, polysilanes etc. Examples of polyanilines are polymers of

20

10

15

where R is in the ortho – or meta-position and is hydrogen, C1-18 alkyl, C1-6 alkoxy, amino, chloro, bromo, hydroxy or the group

10

15

20

25

where R is alky or aryl and R' is hydrogen, C1-6 alkyl or aryl with at least one other monomer of formula I above.

Or the hole transporting material can be a polyaniline, polyanilines which can be used in the present invention have the general formula

$$\begin{array}{c|c}
 & R \\
 & N \\$$

where p is from 1 to 10 and n is from 1 to 20, R is as defined above and X is an anion, preferably selected from Cl, Br, SO<sub>4</sub>, BF<sub>4</sub>, PF<sub>6</sub>, H<sub>2</sub>PO<sub>3</sub>, H<sub>2</sub>PO<sub>4</sub>, arylsulphonate, arenedicarboxylate, polystyrenesulphonate, polyacrylate alkysulphonate, vinylsulphonate, vinylbenzene sulphonate, cellulose sulphonate, camphor sulphonates, cellulose sulphate or a perfluorinated polyanion.

Examples of arylsulphonates are p-toluenesulphonate, benzenesulphonate, 9,10-anthraquinone-sulphonate and anthracenesulphonate, an example of an arenedicarboxylate is phthalate and an example of arenecarboxylate is benzoate.

We have found that protonated polymers of the unsubstituted or substituted polymer of an amino substituted aromatic compound such as a polyaniline are difficult to evaporate or cannot be evaporated, however we have surprisingly found that if the unsubstituted or substituted polymer of an amino substituted aromatic compound is deprotonated the it can be easily evaporated i.e. the polymer is evaporable.

Preferably evaporable deprotonated polymers of unsubstituted or substituted polymer of an amino substituted aromatic compound are used. The de-protonated

unsubstituted or substituted polymer of an amino substituted aromatic compound can be formed by deprotonating the polymer by treatment with an alkali such as ammonium hydroxide or an alkali metal hydroxide such as sodium hydroxide or potassium hydroxide.

5

The degree of protonation can be controlled by forming a protonated polyaniline and de-protonating. Methods of preparing polyanilines are described in the article by A. G. MacDiarmid and A. F. Epstein, Faraday Discussions, Chem Soc.88 P319 1989.

The conductivity of the polyaniline is dependant on the degree of protonation with the maximum conductivity being when the degree of protonation is between 40 and 60% e.g. about 50% for example.

Preferably the polymer is substantially fully deprotonated

15

A polyaniline can be formed of octamer units i.e. p is four e.g.

The polyanilines can have conductivities of the order of  $1 \times 10^{-1}$  Siemen cm<sup>-1</sup> or higher.

The aromatic rings can be unsubstituted or substituted e.g. by a C1 to 20 alkyl group such as ethyl.

The polyaniline can be a copolymer of aniline and preferred copolymers are the copolymers of aniline with o-anisidine, m-sulphanilic acid or o-aminophenol, or o-toluidine with o-aminophenol, o-ethylaniline, o-phenylene diamine or with amino anthracenes.

15

20

25

Other polymers of an amino substituted aromatic compound which can be used include substituted or unsubstituted polyaminonapthalenes, polyaminoanthracenes, polyaminophenanthrenes, etc. and polymers of any other condensed polyaromatic compound. Polyaminoanthracenes and methods of making them are disclosed in US Patent 6,153,726. The aromatic rings can be unsubstituted or substituted e.g. by a group R as defined above.

Other hole transporting materials are conjugated polymer and the conjugated polymers which can be used can be any of the conjugated polymers disclosed or referred to in US 5807627, PCT/WO90/13148 and PCT/WO92/03490.

The preferred conjugated polymers are poly (p-phenylenevinylene)-PPV and copolymers including PPV. Other preferred polymers are poly(2,5 dialkoxyphenylene vinylene) such as poly (2-methoxy-5-(2-methoxypentyloxy-1,4-phenylene vinylene), poly(2-methoxypentyloxy)-1,4-phenylenevinylene), poly(2-methoxy-5-(2-dodecyloxy-1,4-phenylenevinylene) and other poly(2,5 dialkoxyphenylenevinylenes) with at least one of the alkoxy groups being a long chain solubilising alkoxy group, poly fluorenes and oligofluorenes, polyphenylenes and oligophenylenes, polyanthracenes and oligo anthracenes, ploythiophenes and oligothiophenes.

In PPV the phenylene ring may optionally carry one or more substituents e.g. each independently selected from alkyl, preferably methyl, alkoxy, preferably methoxy or ethoxy.

Any poly(arylenevinylene) including substituted derivatives thereof can be used and the phenylene ring in poly(p-phenylenevinylene) may be replaced by a fused ring

system such as anthracene or naphthlyene ring and the number of vinylene groups in each polyphenylenevinylene moiety can be increased e.g. up to 7 or higher.

The conjugated polymers can be made by the methods disclosed in US 5807627, PCT/WO90/13148 and PCT/WO92/03490.

The thickness of the hole transporting layer is preferably 20nm to 200nm.

5

25

30

The polymers of an amino substituted aromatic compound such as polyanilines referred to above can also be used as buffer layers with or in conjunction with other hole transporting materials.

The structural formulae of some other hole transporting materials are shown in Figures 12 to 16 of the drawings, where R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can be the same or different and are selected from hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoryl methyl groups, halogens such as fluorine or thiophenyl groups; R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer e.g. styrene. X is Se, S or O, Y can be hydrogen, substituted or unsubstituted hydrocarbyl groups, such as substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorine, fluorocarbons such as trifluoryl methyl groups, halogens such as fluorine or thiophenyl groups or nitrile.

Examples of R<sub>1</sub> and/or R<sub>2</sub> and/or R<sub>3</sub> include aliphatic, aromatic and heterocyclic alkoxy, aryloxy and carboxy groups, substituted and substituted phenyl, fluorophenyl, biphenyl, phenanthrene, anthracene, naphthyl and fluorene groups alkyl groups such as t-butyl, heterocyclic groups such as carbazole.

Optionally there is a layer of an electron injecting material between the cathode and the electroluminescent material layer, the electron injecting material is a material which will transport electrons when an electric current is passed through electron injecting materials include a metal complex such as a metal quinolate e.g. an aluminium quinolate, lithium quinolate, Mx(DBM)<sub>n</sub> where Mx is a metal and DBM is dibenzoyl methane and n is the valency of Mx e.g Mx is aluminium or chromium. The electron injecting material can also be a cyano anthracene such as 9,10 dicyano anthracene, cyano substituted aromatic compounds, tetracyanoquinidodimethane a polystyrene sulphonate or a compound with the structural formulae shown in figures 9 or 10 of the drawings in which the phenyl rings can be substituted with substituents R as defined above. Instead of being a separate layer the electron injecting material can be mixed with the electroluminescent material and co-deposited with it.

10

20

5

Optionally the hole transporting material can be mixed with the electroluminescent material and co-deposited with it.

The hole transporting materials, the electroluminescent material and the electron injecting materials can be mixed together to form one layer, which simplifies the construction.

The anode is preferably a transparent substrate such as a conductive glass or plastic material which acts as the anode, preferred substrates are conductive glasses such as indium tin oxide coated glass, but any glass which is conductive or has a conductive layer such as a metal or conductive polymer can be used. Conductive polymers and conductive polymer coated glass or plastics materials can also be used as the substrate.

The cathode is preferably a low work function metal e.g. aluminium, calcium, lithium, magnesium and alloys thereof such as silver/magnesium alloys, rare earth metal alloys etc., aluminium is a preferred metal. A metal fluoride such as an alkali metal, rare earth metal or their alloys can be used as the second electrode for example by having a metal fluoride layer formed on a metal.

### Device construction

An example of an electroluminescent devices according to the invention are shown in figs. 17a, 17b. 17c, 17d of the drawings in which was made by forming sequentially from solution onto a transparent indium tin oxide glass anode (1) layers in which (2) is a hole transporting layer of TPD (3) is a layer of Eu(DBM)<sub>3</sub>OPNP (R1), (4) is a layer of Gd(tmhd)<sub>3</sub>Phen (5) is an electron transmitting layer of aluminium quinolate (6) is a lithium fluoride layer and (7) is an aluminium cathode.

When an electric current is passed through the device red light is emitted via (1).

Various structures were formed and the colour coordinates x;y and their peak efficiencies measured and the results shown in the table

Reference	Cd/m <sup>2</sup>	Cd/A	х	у
E8L C1	2.4	1.13	0.66	0.33
E9L b1	15.4	2.01	0.66	0.33
F2J	0.9	3.13	0.66	0.33

15

5

Where E8L C1 is -

ITO/TPD(35.5nm)/R1(23.6nm)/Gd(tmhd)<sub>3</sub>Phen(20.3nm)/R1(24.2nm)/Alq3(15.5nm/

Al

E9L b1 is -

20 ITO/TPD(33nm)/R1(23nm)/Gd(tmhd)<sub>3</sub>Phen(10nm)/R1(10nm)/

Gd(tmhd)<sub>3</sub>Phen(10nm)/R1(23nm) Alq3(9nm/Al

F2J is -

ITO/DFDAA(13nm)/ TPD(33nm)/ R1(23nm)/Gd(tmhd)<sub>3</sub>Phen(10nm)/ R1(10nm)/ Gd(tmhd)<sub>3</sub>Phen(10nm)/R1(23nm) Alq3(9nm/Al

Where DFDAA is a buffer layer.

### Claims

5

15

20

25

- 1. An electroluminescent device which comprises (i) a first electrode (ii) a layer of a first electroluminescent metal complex or organo metallic complex (iii) a layer of a second metal complex or organo metallic complex and (iv) a second electrode and in which the band gap of the second electroluminescent metal complex or organo metallic complex is larger than the band gap of the first electroluminescent metal complex or organo metallic complex.
- 2. An electroluminescent device as claimed in claim 1 in which the metal in the first and second electroluminescent metal complex or organo metallic complex is selected from Sm(III), Eu(III), Eu(III), Tb(III), Dy(III), Yb(III), Lu(III), Gd (III), U(III), U(VI)O<sub>2</sub>, Tm(III), Th(IV), Ce (III), Ce(IV), Pr(III), Nd(III), Pm(III), Dy(III), Ho(III), Er(III).

3. An electroluminescent device as claimed in claim 1 in which the metal in the first electroluminescent metal complex or organo metallic complex is europium or terbium and the metal in the second electroluminescent metal complex or organo metallic complex is gadolinium or cerium.

4. An electroluminescent device as claimed in claim 1 or 2 in which the metal in the first electroluminescent metal complex or organo metallic complex and the metal in the second electroluminescent metal complex or organo metallic complex are the same.

- 5. An electroluminescent device as claimed in any one of claims 1 to 4 in which there are more than one layer of each of the first and second electroluminescent metal complex or organo metallic complexes arranged alternatively.
- 6. An electroluminescent device as claimed in any one of claims 1 to 5 in which the first electroluminescent metal complex or organo metallic complex has the formula (Lα)<sub>n</sub>M1 where Lα is an organic complex M1 is the metal and n is the valence state of M1 and the second electroluminescent metal complex or organo metallic complex

15

20

has the formula  $(L\alpha)_mM2$  where  $L\alpha$  is an organic complex M2 is the metal and n is the valence state of M2.

7. An electroluminescent device as claimed in any one of claims 1 to 5 in which the metal complex or organo metallic complexes have the formula

$$(L\alpha)_x Mx \leftarrow Lp$$

(A)

where Mx, x, L\alpha and Lp are as defined herein and the ligands L\alpha can be the same or different and there can be a plurality of ligands Lp which can be the same or different.

- 8. An electroluminescent device as claimed in any one of claims 1 to 4 in which at least one of the metal complex or organo metallic complexes have the formula  $(L\alpha)_nMxM3$  where M3 is a non rare earth metal,  $L\alpha$  is as defined herein and n is the combined valence state of Mx and M3.
- 9. An electroluminescent device as claimed in any one of claims 1 to 4 in which at least one of the metal complex or organo metallic complexes have the formula  $(L\alpha)_nMxM3(Lp)$ . above.
- 10. An electroluminescent device as claimed in claim 9 in which the metal M3 is any metal which is not a rare earth, transition metal, lanthanide or an actinide.
- 11. An electroluminescent device as claimed in claim 9 in which the metal M3 is selected from lithium, sodium, potassium, rubidium, caesium, beryllium, magnesium, calcium, strontium, barium, copper (I), copper (II), silver, gold, zinc, cadmium, boron, aluminium, gallium, indium, germanium, tin (II), tin (IV), antimony (II), antimony (IV), lead (II), lead (IV) and metals of the first, second and third groups of transition metals in different valence states e.g. manganese, iron, ruthenium, osmium,

15

20

25

cobalt, nickel, palladium(II), palladium(IV), platinum(II), platinum(IV), cadmium, chromium. titanium, vanadium, zirconium, tantulum, molybdenum, rhodium, iridium, titanium, niobium, scandium, yttrium.

- 5 12. An electroluminescent device as claimed in any one of claims 1 to 11 in which Lα is of formula (I) to (XVII) herein.
  - 13. An electroluminescent device as claimed in any one of claims 1 to 11 in which Lp is of formula (XVIII) to (XXV) herein or figs. 1 to 9 of the drawings
  - 14. An electroluminescent device as claimed in any one of claims 1 to 13 in which  $L\alpha$  is selected from tripyridyl and TMHD, and TMHD complexes,  $\alpha$ ,  $\alpha'$ ,  $\alpha''$  tripyridyl and Lp is selected from crown ethers, cyclans, cryptans phthalocyanans, porphoryins ethylene diamine tetramine (EDTA), DCTA, DTPA and TTHA.
  - 15. An electroluminescent device as claimed in any one of claims 1 to 14 in which the europium complex is Eu(DBM)<sub>3</sub>OPNP.
  - 16. An electroluminescent device as claimed in any one of claims 1 to 15 in which the gadolinium complex is Gd(DBM)<sub>3</sub>Phen.
    - 17. An electroluminescent device as claimed any one of claims 1 to 15 in which there is a layer of a hole transmitting material between the first electrode and the electroluminescent layer.

18. An electroluminescent device as claimed in any one of claims 1 to 15 in which the hole transmitting material is an aromatic amine complex.

- 19. An electroluminescent device as claimed in any one of claims 1 to 15 in which the hole transmitting material is polyaromatic amine complex.
- 20. An electroluminescent device as claimed in any one of claims 1 to 15 in which the hole transmitting material is a film of a polymer selected from poly(vinylcarbazole), N,N'-diphenyl-N,N'-bis (3-methylphenyl) -1,1' -biphenyl -4,4'-diamine (TPD), polyaniline, substituted polyanilines, polythiophenes, substituted polythiophenes, polysilanes and substituted polysilanes.
- 21. An electroluminescent device as claimed in any one of claims 1 to 15 in which the hole transmitting material is a film of a compound of formula (XXVI) or (XXVII) herein or as in figures 4 to 8 of the drawings.
- 22. An electroluminescent device as claimed in any one of claims 1 to 15 in which the hole transmitting material is a copolymer of aniline, a copolymer of aniline with o-anisidine, m-sulphanilic acid or o-aminophenol, or o-toluidine with o-aminophenol, o-ethylaniline, o-phenylene diamine or with an amino anthracene.
- 23. An electroluminescent device as claimed in any one of claims 1 to 15 in which thehole transmitting material is a conjugated polymer.
  - 24. An electroluminescent device as claimed in claim 23 in which the conjugated polymer is selected from poly (p-phenylenevinylene)-PPV and copolymers including PPV, poly(2,5 dialkoxyphenylene vinylene), poly (2-methoxy-5-(2-methoxypentyloxy-1,4-phenylene vinylene), poly(2-methoxypentyloxy)-1,4-phenylenevinylene), poly(2-methoxy-5-(2-dodecyloxy-1,4-phenylenevinylene) and other poly(2,5 dialkoxyphenylenevinylenes) with at least one of the alkoxy groups being a long chain solubilising alkoxy group, poly fluorenes and oligofluorenes,

10

20

25

polyphenylenes and oligophenylenes, polyanthracenes and oligo anthracenes, ploythiophenes and oligothiophenes.

- 25. An electroluminescent device as claimed in any one of claims 17 to 24 in which the electroluminescent compound is mixed with the hole transmitting material.
  - 26. An electroluminescent device as claimed in any one of claims 1 to 25 in which there is a layer of an electron transmitting material between the cathode and the electroluminescent compound layer.
- 27. An electroluminescent device as claimed in claim 26 in which the electron transmitting material is a metal quinolate.
- 28. An electroluminescent device as claimed in claim 25 in which the metal quinolate is an aluminium quinolate or lithium quinolate
  - 29. An electroluminescent device as claimed in claim 26 in which the electron transmitting material is of formula Mx(DBM)<sub>n</sub> where Mx is a metal and DBM is dibenzoyl methane and n is the valency of Mx.
  - 30. An electroluminescent device as claimed in claim 26 in which the electron transmitting material is a cyano anthracene such as 9,10 dicyano anthracene, a polystyrene sulphonate or a compound of formulae shown in figure 2 or 3 of the drawings.
  - 31. An electroluminescent device as claimed in any one of claims 26 to 30 in which the electron transmitting material is mixed with the electroluminescent compound.

- 32. An electroluminescent device as claimed in any one of the claims 1 to 31 in which the first electrode is a transparent electricity conducting glass electrode.
- 33. An electroluminescent device as claimed in any one of the claims 1 to 32 in which the second electrode is selected from aluminium, calcium, lithium, magnesium and alloys thereof and silver/magnesium alloys.

### Abstract

An improved electroluminescent device has a layer of a first electroluminescent metal complex or organo metallic complex and a layer of a second metal complex or organo metallic complex in which the band gap of the metal in the second electroluminescent metal complex or organo metallic complex is larger than the band gap of the metal in the first electroluminescent metal complex or organo metallic complex...



$$\begin{array}{c|cccc}
R_1 & R_2 \\
\hline
O & P & P & R
\end{array}$$

$$\begin{array}{c|cccc}
R_1 & R_2 & R_3 & R_4
\end{array}$$

Ph

.| Ph

Ph

Fig. 2a

Fig. 4b

R

R

R

R

Fig. 4c

$$\begin{array}{c} R \\ \downarrow \\ R \\ \downarrow \\ N \\ \downarrow \\ R \\ \end{array}$$

Fig. 4d

Fig. 4e

Fig. 4g

Fig. 4h

Fig. 4i

Fig. 4j

Fig.4k

Fig. 41

$$R_4$$
 $P$ 
 $R_2$ 
 $R_1$ 
 $R_2$ 

Fig.5b

$$R_2$$
  $R_1$   $PH_2N$   $NPh_2$   $Ph_2N$   $R_3$   $R_4$ 

Fig. 5d

Fig. 6a

$$R_2N$$
  $R_2N$ 

Fig. 5g

Fig 6b

$$R_1$$

Fig. 6d

R
(CH<sub>2</sub>)<sub>n</sub>
s = 0
(CH<sub>2</sub>)<sub>m</sub>

R
$$m = 0,1,2 \text{ etc.}$$
 $n = 0,1,2 \text{ etc.}$ 

Fig. 7b

$$\begin{pmatrix}
R_2 & R_1 \\
R_3 & N \\
R_1 & N \\
R_2 & N \\
R_3 & N \\
R_1 & N \\
R_2 & R_3
\end{pmatrix}$$
P = 0

Fig. 7d

$$R'$$
 $S = 0$ 
 $(CH_2)_n$ 
 $R$ 
 $n = 0,1,2 \text{ etc.}$ 

$$CH_2$$
  $R'$ 
 $S = 0$ 

(CH<sub>2</sub>)<sub>m</sub>  $m = 0,1,2 \text{ etc.}$ 
 $m = 0,1,2 \text{ etc.}$ 

Fig. 7f

$$R_1$$
 $S$ 
 $R_2$ 
 $R_2$ 
 $R_3$ 

O  

$$(CH_2)_m - S - (CH_2)_n$$
 $m = 0,1,2 \text{ etc.}$ 
 $n = 0,1,2 \text{ etc.}$ 

Fig. 8b

R
O
$$(CH_2)_m$$
S
 $(CH_2)_n$ 
R
 $R = 0,1,2 \text{ etc.}$ 
R
R

$$\begin{array}{c|c} R & R \\ \hline \\ S \\ \hline \\ 0 \\ \end{array}$$

Fig.8d

Fig. 8e

O | | 
$$CH_2$$
 |  $S-(CH_2)_m-R$  |  $m=0,1,2$  etc.  $n=0,1,2$  etc.

Fig. 8f

$$\begin{array}{c} R \\ R \\ CH_2 \end{array} \begin{array}{c} R \\ CH_2 \end{array} \begin{array}{c} R \\ S \\ CH_2 \end{array} \begin{array}{c} R \\ S \\ Fig. 8g \end{array}$$

Fig. 8g

Alq

Bebq

BAlq1

ZnPBO

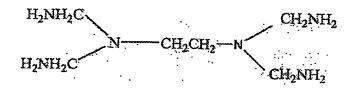
ZnPBT

DTVb1

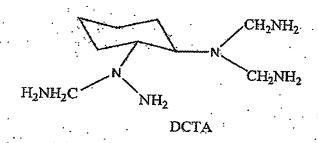
Fig. 9

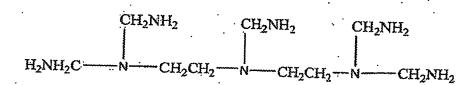
Fig. 10

OXD- Star



EDTA





DTPA

TTHA

Fig. 11

Fig. 12d

$$R_1$$
  $R_2$   $R_3$   $R_4$ 

(

Fig. 14a

$$R_4$$
  $R_3$   $R_4$   $R_4$   $R_4$   $R_4$   $R_5$ 

Fig. 14b

$$R_1$$
 $R_2$ 
 $S$ 
 $S$ 
 $R_3$ 
 $R_4$ 
or

$$R_1$$
 $S$ 
 $S$ 
 $S$ 
 $R_3$ 
 $R_4$ 

Fig. 14c

Fig. 14d

Fig. 15a

Fig. 15b

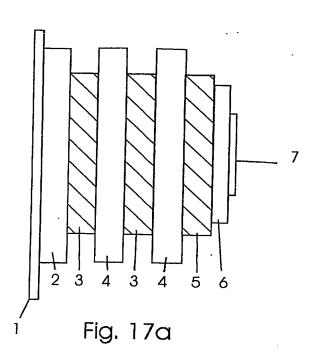
Fig. 16a

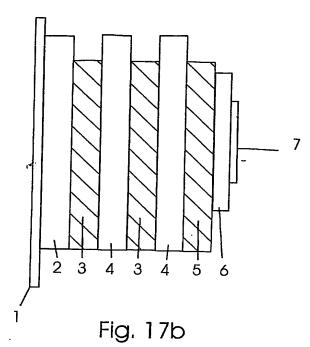
Fig. 16b

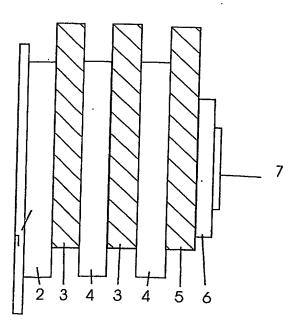
## mTADATA

Fig. 16c

# 17/17







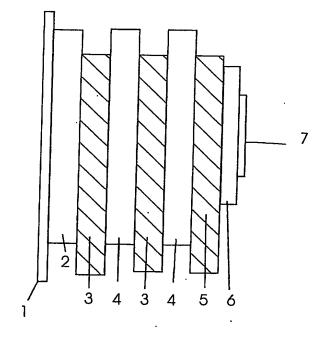


Fig. 17c

.Fig. 17d

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

#### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

D BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
Потиев.

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.